The **USA PATRIOT Act of 2001** requires financial institutions, including dealers in precious metals, to establish an anti-money laundering program to deter and detect money laundering and the financing of terrorism. ALTUNI PRECIOUS METALS REFINERY (“ **APMR** ”) supports the purpose of the Act, and appreciates your assistance on meeting its requirements.

By transacting business with **APMR, *Customer*** agrees to the USA PATRIOT Act provisions, including specifically that ***Customer***:

1. has a written anti-money laundering program that complies with the USA PATRIOT Act and the Bank

Secrecy Act, or

1. is not “dealer in precious metals, stones and jewels” as defined by the USA PATRIOT Act, or
2. is exempt from compliance therewith by one of the following:

**Retailer exemption:** Retailers that purchase only from other dealers who follow a U.S. anti-money

laundering program are exempt from compliance. Additionally, retailers who purchase less than $50,000 of covered goods from non-U.S. dealers and members of the general public are exempt from compliance.

**Pawnbroker exemption:** Businesses properly licensed and registered as pawnbrokers under state or

municipal law are specifically exempted from the Interim Final Rule's definition of “dealer”, and are not required to comply.

Furthermore, ***Customer***, by signing this acknowledgement, represents and warrants that:

1. none of the material it provides to **APMR** will originate from, relate to, further, or be involved or derived in any way from any type of activity that is criminal, illegal, illicit, or otherwise prohibited;
2. the country of origin for all materials shipped to **APMR** is the United States of America;
3. ***Customer*** has complied with all applicable holding and reporting requirements relating to the material; and
4. that all information provided is true and accurate and certifies that the indicated business either has in place a written anti-money laundering program or is exempt from this requirement.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Company Name**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Printed Name of Authorized Representative Title**

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Signature of Authorized Representative Date**

**Please mail or fax these completed forms to the address above or return the completed forms with your next shipment of material. Thank you for your cooperation in this matter.**

**Type of Business (check one)**

⬜ Pawn Shop ⬜ Jewelry Repair ⬜ Jewelry Manufacturer ⬜ Jewelry Retail ⬜ Coin Dealer

⬜ Collector / Broker ⬜ Gold Buyer ⬜ Antique Dealer ⬜ Other

**General Business Information**

**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_**

Full Legal Name of Business

Type of Organization: ⬜ Corporation ⬜ Partnership ⬜ LLC ⬜ Sole Prop.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Business Address

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Business Phone Business Email

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Sales Tax# Federal EIN

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

State of Incorporation Years in Business

**Do you import or export precious metals?** ⬜ YES ⬜ NO

If yes, please provide additional detail regarding these transactions, including the country of origin and/or destination for these transactions:

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Trade organizations of which you are a member** (check all that apply) :

⬜ Jewelers Board of Trade ⬜ National Pawnbrokers Association

⬜ American Numismatic Association ⬜ Professional Numismatist Guild

⬜ Manufacturing Jewelers & Suppliers of America

⬜ Independent Jewelers Organization ⬜ Other: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Name of authorized representative completing this form: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

**Signature:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ **Date:**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

How did you hear about **ALTUNI PRECIOUS METALS REFINERY?**

⬜ Referral ⬜ Ad/Postcard ⬜ Sales Rep ⬜ Other:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_